

Securities Fraud Matters

Results achieved in prior matters are not meant to be a guarantee of success as the facts and legal circumstances vary from matter to matter.

Wilentz, Goldman and Spitzer, P.A. represents individuals involved in cases of alleged securities fraud including:

- Stock brokers
- Private investment banks
- People who solicit investors
- People who promote investment opportunities

A securities fraud case may begin when a regulatory agency such as the Financial Industry Regulatory Authority (FINRA), the Securities Exchange Commission (SEC), the FBI, or an Attorney General's Office accuses someone of giving inaccurate, false or misleading information about a particular investment. Clients seek the advice of our criminal defense team when involved in alleged cases of securities fraud including:

- Ponzi schemes
- Pump and dumps
- Corporate misconduct
- Dummy corporations
- Insider trading

Our attorneys have significant experience navigating securities fraud cases, including administrative and civil cases. To discuss your particular case with an attorney, please contact our office through the form below or directly via phone.

To speak with an attorney about your legal options, please call: 732-855-6100.